

**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

LAMPSHADE ASSEMBLY

the specification of which is attached hereto unless the following box is checked:

☐ was filed on \_\_\_\_\_ as United States Application Number \_\_\_\_\_  
or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b), of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application, having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

NONE			<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
<hr/>			<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
<hr/>			<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

_____ (Application Number)	_____ (Filing Date)
<hr/>	
_____ (Application Number)	_____ (Filing Date)
<hr/>	
_____ (Application Number)	_____ (Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)	(Filing Date)	(Status - patented, pending, abandoned)
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(Application Serial No.)	(Filing Date)	(Status - patented, pending, abandoned)
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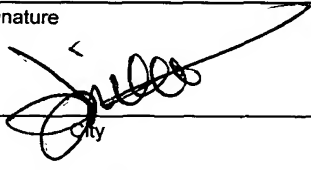
I (we) hereby appoint the following as our representative(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Please see attachment

Send Correspondence to:  
HARNES, DICKEY & PIERCE, P.L.C.  
11730 Plaza America Drive  
Suite 600  
Reston, Virginia 20190  
U.S.A.

Direct Telephone Calls to:  
Mr. Terry L. Clark (703)668-8000

I (we) hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor			
Duan-Cheng HSIEH			
Inventor's signature			Date
			August 14, 2003
Residence:	City	State	Country
2F, No. 199, Sec. 3, Cheng-Teh Rd., Taipei City, Taiwan			Taiwan
Mailing Address			
same as residence			
Full name of second joint inventor, if any			
Second Inventor's signature			Date
Residence:			City
State			Country
Citizenship			
Mailing Address			

(F-66 A4)

☐

Additional inventors are being named on separately numbered sheets attached hereto.

# ATTACHMENT

Amburn, Dean W.	Reg. No. 46517	Suter, David L.	Reg. No. 30692
Auchterlonie, Thomas S.	Reg. No. 37275	Taylor, Michael L.	Reg. No. 50521
Blair, Charles H.	Reg. No. 19734	Taylor, W. R. Duke	Reg. No. 31306
Brennan, Michael P.	Reg. No. 30612	Telscher, Jr., Rudolph A.	Reg. No. 36032
Brock, Christopher M.	Reg. No. 27313	Urian, Jeffrey H.	Reg. No. 46232
Brummett, Gregory P.	Reg. No. 41646	Utykanski, David P.	Reg. No. 39052
Budde, Anna M.	Reg. No. 35085	Wade, Bryant E.	Reg. No. 40344
Burris, Kelly K.	Reg. No. 46361	Walker, Donald G.	Reg. No. 44390
Cantor, Bernard J.	Reg. No. 17336	Wallace, Robert J.	Reg. No. 18985
Cassel, Alan L.	Reg. No. 35842	Walsh, Jr., Joseph E.	Reg. No. 36959
Castellano, John A., III	Reg. No. 35094	Warner, Richard W.	Reg. No. 38043
Clark, Terry L.	Reg. No. 32644	Wheelock, Byan K.	Reg. No. 31441
Cutler, Matthew L.	Reg. No. 43574	Wiggins, Michael D.	Reg. No. 34754
Daley, Donald J.	Reg. No. 34313	Yacura, Gary D.	Reg. No. 35416
Deschere, Linda M.	Reg. No. 34811	Zalobsky, Michael D.	Reg. No. 45,512
Donley, Garrett C.	Reg. No. 34579		
Elchuk, Mark D.	Reg. No. 33686		
Erjavac, Stanley M.	Reg. No. 38442		
Eusebi, Christopher A.	Reg. No. 44672		
Falcoff, Monte L.	Reg. No. 37617		
Foss, Stephen J.	Reg. No. 31251		
Frentrup, Mark A.	Reg. No. 41026		
Fuller, Roland III	Reg. No. 31160		
Fussner, Anthony G.	Reg. No. 47582		
Gray, Scott T.	Reg. No. P48891		
Gryte, Daniel M.	Reg. No. 41809		
Hallin, Thomas A.	Reg. No. 32460		
Harris, Jr., Gordon K.	Reg. No. 28615		
Hilton, Michael E.	Reg. No. 33509		
Holland, Donald R.	Reg. No. 35197		
Keller, Paul A.	Reg. No. 29752		
Lafata, Joseph M.	Reg. No. 37166		
MacIntyre, Timothy D.	Reg. No. 42824		
Malinzak, Michael	Reg. No. 43770		
Massey, Ryan W.	Reg. No. 38543		
McClaughry, David A.	Reg. No. 37885		
Miller, H. Keith	Reg. No. 22484		
Moustakas, George D.	Reg. No. 44425		
Newtonson, Gary L.	Reg. No. 19899		
Odell, Elizabeth D.	Reg. No. 39532		
Olson, Stephen T.	Reg. No. 36626		
Papp, Joseph R.	Reg. No. 20115		
Rettig, Philip E.	Reg. No. 34000		
Schivley, G. Gregory	Reg. No. 27382		
Schmidt, Michael J.	Reg. No. 34007		
Schooff, George T.	Reg. No. 44323		
Siminski, Robert M.	Reg. No. 36007		
Snyder, Jeffrey L.	Reg. No. 43141		
Sotiriou, Evan A.	Reg. No. 46247		
Stobbs, Gregory A.	Reg. No. 28764		

Applicant or Patentee : Duan-Cheng HSIEH Attorney's  
Serial or Patent No. : \_\_\_\_\_ Docket No.  
Filed or Issued : \_\_\_\_\_  
For : LAMPSHADE ASSEMBLY

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9 (f) and 1.27 (c)) —SMALL BUSINESS CONCERN

I hereby declare that I am

- ☒ (X) the owner of the small business concern identified below:  
☐ ( ) an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN HABITEX CORPORATION  
ADDRESS OF CONCERN 2F, No. 199, Sec. 3, Cheng-Teh Rd., Taipei City, Taiwan

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9 (d), for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled LAMPSHADE ASSEMBLY

By inventor(s)

described in

- ☒ (X) the specification filed herewith  
☐ ( ) application serial no. \_\_\_\_\_, filed \_\_\_\_\_  
☐ ( ) patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e). \*NOTE: separate verified statements are required from each person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

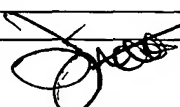
NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ ( ) INDIVIDUAL ☐ ( ) SMALL BUSINESS CONCERN ☐ ( ) NONPROFIT ORGANIZATION

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ ( ) INDIVIDUAL ☐ ( ) SMALL BUSINESS CONCERN ☐ ( ) NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Duan-Cheng HSIEH  
TITLE OF PERSON OTHER THAN OWNER President  
ADDRESS OF PERSON SIGNING 2F, No. 199, Sec. 3, Cheng-Teh Rd., Taipei City, Taiwan

SIGNATURE  DATE August 14, 2003

Applicant or Patentee : Duan-Cheng HSIEH Attorney's  
Serial or Patent No. : \_\_\_\_\_ Docket No.  
Filed or Issued : \_\_\_\_\_  
For : LAMPSHADE ASSEMBLY

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9 (f) and 1.27 (b)) —INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled LAMPSHADE ASSEMBLY described in :

- (X) the specification filed herewith  
( ) application serial no. \_\_\_\_\_, filed \_\_\_\_\_  
( ) patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed, or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e) .

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below :

- ( ) no such person, concern, or organization  
(X) persons, concerns or organizations listed below\*

\*Note : Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME HABITEX CORPORATION  
ADDRESS 2F, No. 199, Sec. 3, Cheng-Teh Rd., Taipei City, Taiwan  
( ) INDIVIDUAL (X) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

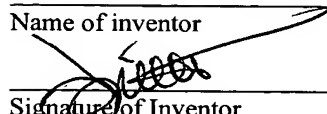
FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Duan-Cheng HSIEH

Name of inventor	Name of inventor	Name of inventor
		
Signature of Inventor	Signature of Inventor	Signature of Inventor
August 14, 2003		
Date	Date	Date